# Intelligent Prompting Systems for People with Cognitive Disabilities

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Background		Population (millions), aged ≥60 years (2001)	Number of with deme ≥60 years	of people (millions) nentia, aged Irs		Proportionate increase (%) in number of people with dementia	
			2001	2020	2040	2001–2020	2001-2040
Age-specific cognitive disabilities	Western Europe (EURO A)	89.6	4.9	6.9	9.9	43	102
Among the population	Eastern Europe	27.4	1.0	1.6	2.8	51	169
of the elderly	low adult mortality (EURO B)						
🔷 Dementia (or	Eastern Europe	44.6	1.8	2.3	3.2	31	84
Alzheimer's)	high adult mortality (EURO C)						
<ul> <li>Other forms of cognitive</li> </ul>	North America	53·1	3.4	5.1	9.2	49	172
Disabilities <ul> <li>Traumatic Brain Injury</li> </ul>	Latin America (AMRO B/D)	40.1	1.8	4·1	9.1	120	393
( <b>TBI</b> )	North Africa and Middle Eastern	27.5	1.0	1.9	4·7	95	385
🔷 Developmental	Crescent (EMRO B/D)						
disabilities, mental	Developed western Pacific (WPRO A)	34.5	1.5	2.9	4·3	99	189
retardation, etc.	China and developing western Pacific (WPRO B)	151·1	6.0	11.7	26.1	96	336
	Indonesia, Thailand, and Sri Lanka (SEARO B)	23.7	0.6	1.3	2.7	100	325
	India and south Asia (SEARO D)	93.1	1.8	3.6	7.5	98	314
	Africa (AFRO D/E)	31.5	0.5	0.9	1.6	82	235
	TOTAL	616-2	24.3	42·3	81.1	74	234

Figure I: Number of people with dementia world-wide (Ferri et al., 2005)



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Developing countries



### **Challenges with Cognitive Disabilities**

### Executive function deficiency

prospective memory; planning and problem solving; task sequencing and switching; self-monitoring, and self-initiation
 failing to initiate, sustain, or terminate an action, forgetting an unfinished task after interruptions, performing task incorrectly, and so on

### Cognitive Support

People: cost, burden
 Technology

Timers, electronic calenders

### Assisted Cognition (Kautz 2002)

- Artificial Intelligence
- Ubiquitous computing
  - Sense aspects of the context



## **Intelligent Prompting**

Context-Aware: take context into account and adapt accordingly





Figure 2. Typical Structure of Prompting System



## **Prompting Systems for Cognitive Disabilities**





## Outline

### Challenges

Avoiding unnecessary prompts (e.g., system of least prompts (SLS))

Decision making under uncertainty

Adapting and customizing prompts

Identifying the state reliably

Solution: Partially observable Markov Decision Process (POMDP)→ Computational Cost (Intractable)

### Key contributions

🔶 Hierarchical Control

Adaptive Prompting

Selective-inquiry based dual control

Robust state estimation

Unified model

Focus group study

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## Model Architecture

: Hierarchical Control



### System Overview

Main Goal: support schedule adherence and time management





### **Markov Decision Process**



Intelligent agent interacting with the world

- state  $s \in S$
- action  $a \in A$
- policy  $\pi(s): \mathbf{S} \rightarrow \mathbf{A}$
- reward r(s, a)
- dynamics p(s' | s, a)



Goal:

find the optimal policy  $\pi^*$  that will maximize  $\mathbf{E}[\mathbf{r}_0 + \Upsilon^{\dagger}\mathbf{r}_1 + \cdots + \Upsilon^{t}\mathbf{r}_t + \cdots^{t}]$ 

Cumulative Discounted Reward





## **Solving MDPs**



#### Reinforcement learning

p(s'| s, a) & r(s, a) are unknown
Learn from actual experience [s<sub>1</sub>, a<sub>1</sub>, r<sub>1</sub>, s<sub>2</sub>, a<sub>2</sub>, r<sub>2</sub>, ...]
Q-learning
Action value function Q(s, a) = r(s, a) + Σ\_s'γP(s'|s, a)max\_a'Q(s', a')
Value update
Q<sub>k+1</sub>(s, a<sub>t</sub>) = (1-α)Q<sub>k</sub>(s, a<sub>t</sub>) + α(r<sub>t+1</sub> + γmax\_aQ<sub>k</sub>(s', a))

Old Value

Learned Value



## **Hierarchical Reinforcement Learning**

### Motivation

"Flat" RL works well but on small problems.

- In the prompting domain:
  - Multiple task
  - Each task could be divided into sub-stages or subtasks.
  - Complex prompting behavior
  - Need to scale up? curse of dimensionality

### **Solution - Temporal abstraction**

include temporal extended actions: persist over a variable period of time

#### 🔶 semi-MDP

Q update Q(s, a)

 $\diamond$  System executes **a** in **s**, takes **T** steps, and transits to **s'** 

•  $Q_{t+1}(s_t, a_t) = (1-\alpha)Q_t(s_t, a_t) + \alpha(r_{t+1} + \gamma r_{t+2} + \cdots + \gamma^{\tau-1}r_{t+\tau} + \gamma^{\tau}max_aQ_t(S_{t+1}, a))$ 

accumulated reward over temporal extended action **a** 



## Options

### An option is defined with:

A region of the initiated state space
 An internal policy π
 A termination condition

### Learning over options

- Basic idea: treat each option as a primitive action
- Fundamental Observations:
   MDP + options = semi-MDP
   (Sutton 1999)
  - Q update Q(s, o)

Room example (Sutton et al. 1999)



Policy under one of the exit options.

Example Option: "Exit room by upper hallway"

$$Q_{k+1}(s, o) = (1-\alpha)Q_k(s, o) + \alpha (\mathbf{r} + \Upsilon^{T} \max_{o'}Q_k(S', o'))$$

accumulated return over option



## **Control Hierarchy for Prompting**



Task domain {T<sub>1</sub>, T<sub>2</sub>, ..., T<sub>N</sub>}
 Define an option-based MDP<sub>i</sub> over each T<sub>i</sub>
 Each MDP<sub>i</sub> has its own set of options O<sub>i</sub>.

Type: start, Task id: breakfast Initiation: task is *ready* Termination: task is *failed*, *underway* or *completed* Strategy: first prompt at t<sub>p</sub>, ...

Example **Start** option in the prompting domain



### The Complete Observable Control Algorithm

Procedure: CO-Controller

**Input S**<sub>t</sub>: the state vector at time t

#### Return

**a**: the primitive action to be generated

At each time step, the controller

 check the termination condition of the running option (if there is any) against the current state S<sub>t</sub>, and terminate it when the condition is met

2. form the set of available options  $O_t$  based on the policy of each MDP  $\pi_{MDPi}$ , and select the option with highest utility  $O_{max}$  for execution

3. Run **o**max only when no other option is running, or **interrupt** the running option if **o**max is of higher priority

4. Decide an action **a** (wait or prompt) based on the prompting strategy of the running option



### Conclusion

System structure: state estimator, temporal planner, and controller
 Controller : option-based MDPs
 Why options for prompting?

- support early deployment
   exploit problem structure
  - specify complex prompting routine
  - improve interpretability and facilitate design
- Completely observable control algorithm (CO-Controller)
  - distribute control among individual MDPs
- Task independent assumption



### Adaptive Prompting

: a decision-theoretic approach



## Learn Timing of Prompt



Prompt too early - user being over reliant
 Prompt too late - jeopardize system
 performance
 User behaviors vary a lot

How to adapt the timing to different needs?

#### Approach

- timing as one of the features of state
  - RL (Rudary et al. 2004) -- exponentially increase state space
- $\diamond$  timing as one of the parameters of prompting strategy of an option

Iearn a set of different options with fixed timings -- exponentially increase state-option pair

- adaptive prompting strategy -- avoid long period policy exploration
  - user modeling: *initiative* and *responsiveness*
  - decision-theoretic analysis based on the expected utility



## **Adaptive Option**

Adaptive option adapts its strategy to different user models.
Example: expected utility of generating a prompt at time t, EU(p, t)



**States:** s<sub>1</sub> (action is **started**), s<sub>2</sub> (action is **failed**)

Considering three outcomes:

I. user initiates the action

2. user starts the action after a prompt

3. user failed to start the action

Objective: find the **t** that maximizes EU

 $P_1$ ,  $P_2$  and  $P_3$  are computed based on user model

- **F**<sub>1</sub> probability of initiating an action
- **F**<sub>2</sub> probability of responding to a prompt
- Reliability model (Weibull) and censored data analysis



## **Experiment I: Simulation**

> Method: compare the learning result of adaptive options with that of different fixed options

Consider the **start** options of four different prompt strategies I. no prompt II. earliest prompt ( $t_p = ES$ ) III. latest prompt ( $t_p = LS - 5$ ) IV. adaptive prompt

#### **Simulated Users**

- **Type I**: high initiative, high responsiveness
- **Type II**: low initiative, high responsiveness
- **Type III**: low initiative, low responsiveness

How well did the adaptive strategy adapt to different users?





### **Simulation Result**

#### How well did the adaptive strategy adapt to different users?





### **Simulation Result**

#### How well did the adaptive strategy adapt to user preferences?



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### **Experiment II: Human Subjects**

#### Experiment Design

Subjects: 9 (6 male, 3 female), ordinary unimpaired
 Primary Task : sequence of randomly ordered steps
 Dual Task : simulate cognitive impairment
 mental arithmetic, i.e., multiplication

00			
DUAL TASK INTERFACE			
TASK I: MULTIPLICATION			
START			
Time Passed: 17			
8 X 82 = ?			
Answer			
Totally answered questions: 2 Correct answers: 1			
FINISH			

#### Example Task Script

I. pour sugar and water into blue cup
2. pour pepper into yellow cup
3. pour water into green cup
4. stir yellow cup
5. pour salt into red cup
6. mix yellow into blue cup

#### Procedure (individual session)

Memory practice

 listen to the script once
 go through all steps once

 Data collection

 four runs (same script)



## **Experimental Setup**

### Prompts

remind of the next step (text-to-speech API)

### Sessions

(Four different task scripts) I. earliest prompt

I. earliest prompt
II.latest prompt
III.adaptive prompt
IV.adaptive prompt (single task)

### Experimenter.

I. select a task scriptII. select a prompting strategyIII.carefully mark the start and end of each step, record error

00				
EXPERIMENT MONITOR PANEL				
Input subject ID 0				
Select a script number 🛛 🚺				
Use existing user file Input file name	ОК			
pour sugar and water into blue cup	🗹 start	🗹 end	🗌 error	
pour pepper into yellow cup	🗹 start	🗌 end	🗌 error	
pour water into green cup	🗌 start	$\Box$ end	🗌 error	
stir yellow cup	🗌 start	🗌 end	error	
pour salt into red cup	🗌 start	🗌 end	🗆 error	
mix yellow into blue cup	🗌 start	🗌 end	🗆 error	
	🗌 start	end	error	
	🗌 start	📄 end	error	
	🗌 start	📄 end	error	
	🗌 start	🗌 end	error	
(Load Task) (Read Script)				
Select a prompt strategy atest				
START FINISH SCORE 12				
			1	



### **Experiment Results**





### **Experiment Results**





### **Experiment Results**



#### **Illustration of Participants' Preferences**



## Summary

### Simulation

Adaptive prompting adapts to different user needs
 Adaptive prompting scores best

User modeling is done correctly

### **Human Subjects**

Adaptive prompting scores best across all subjects
 User modeling is done correctly
 Overall, participants responded positively to the use of prompts
 Immediate prompts compromise learning, and could be annoying
 People are easily driven by prompts
 A relatively "later" prompt is most desirable : key to improve usability



### Partial Observability

### : Dual control approach and unified model



### **Partially Observable Markov Decision Process**

System state can not always be determined ⇒ Partial Observability
Action outcomes are not fully observable
Add a set of observations O to the MDP model
Add an observation distribution O(s, o) to the model
Add an initial state distribution I

**Key notion**: belief state **b**, a distribution over all possible system states

"where I think I am"

normalizing constant

 $\Rightarrow$  optimal action depends on b,  $a = \pi * (b)$ 



## Solving POMDP

- Equivalent Belief-State MDP
  - ► Each MDP state is continuous belief state **b**
  - Hugely intractable to solve optimally!
  - ► Approximately solved offline ⇒ computationally expensive
  - Learning is difficult = require extensive training instances

### Heuristic (Greedy) Approaches

- Solve underlying MDP
  - $\pi_{MDP}: S \rightarrow A, Q_{MDP}$
- Choose action based on current belief state
  - "most likely" π<sub>MDP</sub>(argmax<sub>s</sub>b(s))
  - "Q-MDP"  $argmax_a(\Sigma_{s\in S} b(s) Q_{MDP}(s, a))$
- Act optimally as if the world were to become observable after the next action



### **Dual-Mode Control**

Extension to greedy approaches to allow information seeking actions
 Compute entropy *H(b)* of belief state

- If entropy is below a threshold, use a heuristic for choosing action
- ▶ If entropy is above a threshold, choose the action that reduces the uncertainty most
  - In our case, choose to inquiry the user
  - User reply is used to help reset the internal state model

#### Selective-inquiry based dual mode control

- Ask only when necessary
  - Different states lead to different actions (at least one is "prompt")
  - The value of inquiry action is highest among all possible actions
- Adaptive option supports selective-inquiry
  - ► Time of prompt action is optimal ➡ critical decision point



## Selective-Inquiry based Dual Control Algorithm

Run on top of the completely observable control algorithm (Controller-CO)
 Recall Controller-CO (S) returns action a

#### Input

**b**, the belief state of internal state model

#### Return

**a**': the system action

#### At each time step, the controller

I. If get confirmed reply after an inquiry, reset internal state model and set H(b) to 0.
2. If H(b) is less than threshold, select s ← argmax<sub>s</sub>b(s), update system state vector S, and return a' ← Controller-CO(S).
3. Otherwise, iterate through n most likely states S<sub>n</sub>, and for each s ∈ S<sub>n</sub>
e construct the pseudo state vector S' based on s
add action ← Controller-CO(S') into the set of permissible actions A
4. If A contains different actions, return a' ← inquiry, otherwise return a' ← any a ∈ A.

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### **Robust State Estimation**

Key to dual control : estimate the belief state of the world, **b** State model to recognize the user activity Hidden Hidden Markov model (HMM) Filtering SI Compute the belief state of current state given all evidence to date Estimate the current activity given a 02 **O**3 01 sequence of sensor readings, **Observe** e.g., cup, cup, cup, none, spoon, ..., Key to selective-inquiry : implementation of adaptive option  $B_2$ : end of  $B_1$ , know when an activity starts, ends, suspends, and resumes A<sub>I</sub>: start of A Extend the model to recover the exact timing of events B ends The current state s<sub>t</sub> is unambiguous (H(b) is low) Viterbi (Most Likely State Sequence) Retrieve the state sequence that ends at  $s_t$ Determine the time point when activity status changes, **B** suspends Example state sequence



## Evaluation

Proposed unified model (I)

✓ Selective-inquiry based dual control with adaptive option and robust state estimation

Experiment Method

Simulate partially observable environment (uncertainty 61%)

- Compare with alternative models
  - II never inquiry
  - III always inquiry
  - $\blacklozenge$  IV only estimate the current state
  - $\diamond$  V run with a set of fixed options
  - Evaluation Metrics
    - System action : minimize interruptions
    - Execution of schedule : improve adherence
    - Inference : accurately log events

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Breakfast {cupboard, cup, spoon, cereal} Medicine : {cupboard, cup, spoon, medicine}



### **Demonstrative Experiments**

Test the system's performance in identifying states, generating prompts, asking questions and handling interruptions

Two volunteer actors walk through three scenarios.

Breakfast is sequenced by taking medicine
 Breakfast is interleaved with taking medicine
 Breakfast is interleaved with watching TV

task	start window	scheduled start	scheduled end
BF	[0, 30]	15	115
TM	[120, 150]	135	175

BF : BF\_B (preparing), BF\_M (eating), BF\_E (cleaning up) TM :TM\_B (getting), TM\_M (taking medicine), TM\_E (putting away)







### Example

♦ Scenario III, Breakfast ⇒ watch TV ⇒ Breakfast ⇒ Take medicine



Example Transcript



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## Summary

### Simulation

Selective inquiry based dual control (Unified model) shows consistently sound performance across all measures

### **Human Subjects**

System performs generally well in recognizing states, generating proper prompts, handling interruptions, and dealing with ambiguity
 20 prompts from a total of 6 scenarios (one error)
 Avoid unnecessary prompts by being aware of contexts
 Selective-inquiry limited the number of questions
 7 inquires out of 172 ambiguous steps
 Dual control works well in presence of partial observability (average uncertainty rate 27%)



### Focus Group Study: Traumatic Brain Injury



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## **Study Methods**

### Sample

Group	TBI	Caregiver
	4	2
Π	3	2

### Analysis

Standard qualitative methods
 identify categories
 identify themes across
 categories

### **Data Collection**

- 1. What types of support, if any, do you need to perform everyday tasks (including at home and work)?
- 2. What is it about a task you need help with?
- 3. How do you accomplish these tasks now? What works well, what doesnt?
- 4. What additional types of support or accommodations would be helpful, if available?
- 5. Current use, comfort and familiarity with technology.
- 6. What technology was tried and failed and why?
- 7. What concerns do you have about the reliability of technology? What if software or services stop working?
- 8. Where do you fall on the spectrum of wanting technology for independence versus wanting assistance from a caregiver?



### Results





## **Needs of Support**

#### **Psychosocial Support Needs**

- Information overload
- Social miscues
- Distractibility
- Environmental stimuli
- Isolation

#### **Memory Support Needs**

- Early reminders
- Immediate prompts

#### **Task Support Needs**

- Adhering to schedules
- Initiating activities
- Performing complex tasks
- Social interactions
- Learning new tasks
- Navigation & path finding
- Attention Control



### Results





## **Use of Support**

#### **Successful Strategies**

- Active engagement
- Repetition

#### Challenges

- Reliability of technology
- Maintenance of technology
- Complexity of technology
- Accessibility

#### **Technology as Support**

- Cell phones
- Computers
- Cameras
- Textual reminder
- Assistive Technology
- Video games

#### **People as Support**

- Emotional support
- Memory support
- Organizational & scheduling support



### Results





### **Attitudes Towards Support**

#### **Users and Caregivers**

 Users want technology for independence but do not want to do away with caregiver support

• Caregivers report that human support is integral; need for emotional support; people promote personal relationship

#### Interest in New Technology

Positive as long as:

- it is easy to use
- helps to connect user and caregiver
- includes training in use



### Results





## Implications for Technology Design

# Verbal prompts are more effective than written ones.

Textual reminder fails
 Difficulty in initiation
 Immediate prompt helps with short-term memory

"Because, when I give him **CUES**, everybody says he does so well with **CUES**. He's hearing my voice. Not only that I'm his wife, and I'm pretty strong. But I think the cues are really important. The cues of "**You need to do this.**"

*"I think people with brain injuries need* **verbal** *commands,* **verbal** *memory,* **verbal** *whatever it is. You speak into it." -- caregiver* 

I "I said don't put the seats down in the car. He's going to pack the car. .....But the first thing he did is put the seats down...... So it's those kinds of things that the **short term memory** is oh, she said not to put the seats down. It's something that could come back at you **right away** and say okay, I was supposed to this. Now don't put the seats down.....**Talks back at you right away**, that it could be you know that's more **interactive**." -- caregiver.

### 🔶 Earlier, repeated

prompting helps to avoid surprises and allow for preparation time. I don't like to find out today that I have to go to a doctor today. It has to be **two or three days** so that I can **prepare** myself, ..... Now tomorrow I have to go to the doctor, but to wake up and then have my phone say 'doctor at 12:00,' I'm **panicked**. I'm really **disturbed** with that. So if everything comes to me slowly, then I'm **prepared** for it"



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## Implications for Technology Design

Technology is not only designed for patients.

People are an important part of the broader support network.

Emotion feedback
 Promote personal relations

" a thing on my phone because I'm always worried (Ben) is going to get lost and I track his phone. So I know where he is all the time. And it's a safety it makes if me **feel better to know that he's okay." --** caregiver

"I think that some way to **connect you with your partner**, if technology-wise would be great. Because then I have a calendar -- like I have a lot of things that go on in Seattle, and we live in Maple Valley, and so he isn't always aware of where I'm going to be going." -- caregiver

"I Because I think a telephone can't go and say, yay, you did it! You need that **positive input** I think every once in a while. And phones can't **give a hug**, so you got to have that. You got to have it." -caregiver. "But interaction with -- having another individual is more in promotion of developing --than using a piece of technology. That's different. The technology is in promotion of relying upon it, where the person, it ends up being in **promotion of**, you know, that's -- developing further or, you know -- **Personal relations.**" -- caregiver.

But isolate, you **isolate** or you spend too much time farming on Facebook or you know, these virtual I caught myself ...... It's usually but **it's very isolating**." -- patient



### Conclusion

"Sometimes people are there and sometimes they're not. So if I was able to have something with me all the time, I would -- it would be more reliable, and then I would be more independent..." -- patient



Technology should be viewed as an opportunity to increase independence while providing a way to communicate support needs on an as-needed basis



### Conclusions



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A unified model that integrates the sensing, planning, prompting and user Scale to large of set of tasks that are divided into subtasks Explored the following issues : Hierarchical control Set of option-based MDPs, on-line learning and planning Adaptive prompting Adaptive option implements decision-theoretic analysis Partial Observability Selective-inquiry based dual control algorithm Robust state estimation Unified model Focus group study broader support network that includes people as essential element. **Future work** 

Test wit clinical populations



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